

# Ethics self-assessment for ISTPlus research projects

## 1. How to complete your ethics self-assessment

- Answer “Yes” or “No” to all of the questions below.
- If an answer is “Yes” to any of the questions below you are requested to provide additional information in the respective comments box. This information needs to include a short description of the respective planned experiments and materials that will be used in the ethics area concerned.
- The ethics self-assessment table is based on the Ethics Issues Table for Horizon 2020 research project proposals from the European Commission. More detailed explanations on the different ethics topics in Horizon 2020 can be found in the document “How to complete your ethics self-assessment”:  
[http://ec.europa.eu/research/participants/data/ref/h2020/grants\\_manual/hi/ethics/h2020\\_hi\\_ethics-self-assess\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf)

## 2. Ethics-self assessment table

1. HUMAN EMBRYOS/FOETUSES	YES	NO
Q1.1 Does your research involve Human Embryonic Stem Cells (hESCs)?		
If yes:		
Will they be directly derived from embryos within this project?		
Are they previously established cell lines?		
Q1.2 Does your research involve the use of human embryos?		
Q1.3 Does your research involve the use of human foetal tissues/cells?		
Comments:		
2. HUMANS	YES	NO
Q2.1 Does your research involve human participants?		
If yes:		
Are they volunteers for social or human sciences research?		
Are they people unable to give informed consent?		
Are they vulnerable individuals or groups?		
Are they children/minors?		
Are they patients?		
Are they healthy volunteers for medical studies?		
Q2.2 Does your research involve physical interventions on the study participants?		
If yes:		
Does it involve invasive techniques?		
Does it involve collection of biological samples?		
<i>If your research involves processing of genetic information see also section 4.</i>		
Comments:		

3. HUMAN CELLS/TISSUES	YES	NO
Q3. 1 Does your research involve human cells or tissues (other than from Human Embryos/Foetuses, i.e. see section 1)?		
If yes:		
Are they available commercially?		
Are they obtained within this project?		
Are they obtained within another project?		
Are they deposited in a biobank?		
Comments:		
4. PERSONAL DATA	YES	NO
Q4.1 Does your research involve personal data collection and/or processing?		
If yes:		
Does it involve the collection and/or processing of sensitive personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?		
Does it involve processing of genetic information?		
Does it involve tracking or observation of participants?		
Q4.2 Does your research involve further processing of previously collected personal data (secondary use)?		
Comments:		

5. ANIMALS	YES	NO
Q5.1 Does your research involve animals?		
If yes:		
Are they vertebrates?		
Are they non-human primates?		
Are they genetically modified?		
Are they cloned farm animals?		
Are they endangered species?		
Comments:		
6. THIRD COUNTRIES	YES	NO
Q6.1 Does your research involve non-EU countries?		
Q6.2 Do you plan to use local resources (e.g. animal and/or human tissue, samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?		
Q6.3 Do you plan to import any material from non-EU countries into the EU? <i>For imports concerning human cells or tissues, fill in also section 3.</i>		
Q6.4 Do you plan to export any material from the EU to non-EU countries? <i>For exports concerning human cells or tissues, fill in also section 3.</i>		
Q6.5 If your research involves low and/or lower middle income countries, are benefits-sharing measures foreseen?		
Q6.6 Could the situation in the country put the individuals taking part in the research at risk?		
Comments:		

7. ENVIRONMENT, HEALTH AND SAFETY	YES	NO
Q7.1 Does your research involve the use of elements that may cause harm to the environment, to animals or plants? <i>For research involving animal experiments, please see also section 5.</i>		
Q7.2 Does your research deal with endangered fauna and/or flora and/or protected areas?		
Q7.3 Does your research involve the use of elements that may cause harm to humans including research staff? <i>For research involving human participants, please fill in also section 2.</i>		
Comments:		
8. DUAL USE	YES	NO
Q8.1 Does your research have the potential for military applications?		
Comments:		
9. MISUSE	YES	NO
Q9.1 Does your research have the potential for malevolent/criminal/terrorist abuse?		
Comments:		

10. OTHER ETHICS ISSUES	YES	NO
Q10.1 Are there any other ethics issues that should be taken into consideration? Please specify.		
Comments:		

Additional comments: