

## Ethics self-assessment for ISTfellow research projects

### 1. How to complete your ethics self-assessment

- Answer “Yes” or “No” to all of the questions below.
- If an answer is “Yes” to any of the questions below you are requested to provide additional information in the respective comments box. This information needs to include a short description of the respective planned experiments and materials that will be used in the ethics area concerned.
- Please answer all relevant questions as fully as possible. If your application is successful, the IST Austria Ethics Officer will be in touch for further information and to clarify any outstanding ethical issues identified before the fellowship can commence.
- Please note: Research with human embryos not allowed in Austria (legislation). Research with hESCs (established cell lines): approval of IST Austria Ethics Committee required for each project (If your application is successful, the IST Austria Ethics Officer will be in touch with details of the approval process. This process does not need to be started at the application stage).

## 2. Ethics-self assessment table

	YES	NO
<b>1. HUMAN EMBRYOS/FOETUSES</b>		
Q1.1 Does your research involve Human Embryonic Stem Cells (hESCs)?		
If yes:		
Will they be directly derived from embryos within this project?		
Are they previously established cell lines?		
Q1.2 Does your research involve the use of human embryos?		
If yes:		
Will the research lead to their destruction?		
Q1.3 Does your research involve the use of human foetal tissues/cells?		
If YES, please give details:		

	YES	NO
<b>2. HUMANS</b>		
Q2.1 Does your research involve human participants?		
If yes:		
Are they volunteers for social or human sciences research?		
Are they persons unable to give informed consent (including children/minors)?		
Are they vulnerable individuals or groups?		
Are they children/minors?		
Are they patients?		
Are they healthy volunteers for medical studies?		

Q2.2 Does your research involve physical interventions on the study participants?		
If yes:		
Does it involve invasive techniques ( <i>e.g. collection of human cells or tissues, surgical or medical interventions, invasive studies on the brain, TMS, etc.</i> )?		
Does it involve collection of biological samples?		
<i>If your research involves processing of genetic information see also section 4.</i>		
If YES, please give details:		

	YES	NO
<b>3. HUMAN CELLS/TISSUES</b>		
Q3. Does your research involve human cells or tissues (other than Human Embryos/ Foetuses, <i>see sect. 1</i> )? e.g. human samples (blood, tissue, etc.) & cell lines, incl. human induced pluripotent stem cell lines (iPSCs)		
If yes:		
Are they available commercially?		
Are they obtained within this project?		
Are they obtained from another project, laboratory or institution?		
Are they obtained from a biobank?		
If YES, please give details:		

	YES	NO
<b>4. PROTECTION OF PERSONAL DATA</b>		
Q4.1 Does your research involve personal data collection and/or processing?		
If yes:		
Does it involve the collection and/or processing of sensitive personal data ( <i>e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction</i> )?		
Does it involve processing of genetic, biometric or health data?		
Does it involve profiling or systematic monitoring of participants ( <i>e.g. tracking, surveillance, audio and video recording, geo-location tracking etc.</i> )?		
Q4.2 Does your research involve further processing of previously collected personal data (secondary use) ( <i>incl. use of pre-existing data sets or sources, publicly available data, merging existing data sets, sharing data with non-EU member states</i> )?		
If YES, please give details:		

	YES	NO
<b>5. ANIMALS</b>		
Q5.1 Does your research involve animals?		
If yes:		
Are they vertebrates?		
Are they non-human primates ( <i>e.g. monkeys, chimpanzees, gorillas, etc.</i> )?		
Are they genetically modified?		
Are they cloned farm animals?		
Are they endangered species?		
If YES, please give details:		

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	YES	NO
<b>6. NON-EU COUNTRIES</b>		
Q6.1 In case non-EU countries are involved, do the research-related activities undertaken in these countries raise potential ethics issues?		
Q6.2 Is it planned to use local resources ( <i>e.g. animal and/or human tissue, samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.</i> )?		
Q6.3 Is it planned to import any material - including personal data - from non-EU countries into the EU? <i>For data imports see section 4.</i> <i>For import of human cells or tissues, see section 3.</i>		
Q6.4 Is it planned to export any material - including personal data - from the EU to non-EU countries? <i>For data exports see section 4.</i>		
Q6.5 In case your research involves low and/or lower-middle income countries, are any benefit-sharing measures planned?		
Q6.6 Could the situation in the country put the individuals taking part in the research at risk?		
If YES, please give details:		

	YES	NO
<b>7. ENVIRONMENT &amp; HEALTH AND SAFETY</b>		
Q7.1 Does your research involve the use of elements that may cause harm to the environment, to animals or plants? <i>For research involving animal experiments, see section 5.</i>		
Q7.2 Does your research deal with endangered fauna and/or flora / protected areas?		
Q7.3 Does your research involve the use of elements that may cause harm to humans including research staff? <i>For research involving human participants, see section 2.</i>		
If YES, please give details:		

	YES	NO
<b>8. Dual use/potential for misuse</b>		
Q8.1 Examples: projects with a high and immediate potential for misuse, projects with a focus on non-civilian applications		
If YES, please give details:		

	YES	NO
<b>9. OTHER ETHICS ISSUES</b>		
Q9.1 Are there any other ethics issues that should be taken into consideration? Please specify.		
If YES, please give details:		

Additional comments: